



PATENT APPLICATION

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12-117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is the application of

Docket No: Q64156

Kazuo OOYA, et al.

Appln. No.: 09/837,487

Group Art Unit: 2661

Confirmation No.: 9915

Examiner: Unknown

Filed: April 19, 2001

For: ELECTRONIC AUDIT SYSTEM AND ELECTRONIC AUDIT METHOD

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the document which is listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of the listed document is submitted herewith.

1. "Software Quality System Audit Registration Guide", published by Japanese Science and Technology Association Publishing Co., on November 7, 1996, pp. 277-293 (ISO9100).

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

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INFORMATION DISCLOSURE STATEMENT

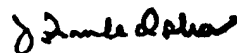
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Decision of Rejection dated July 8, 2003, and an abridged translation as a brief statement of relevance.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



---

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: October 3, 2003

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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Application Number	09/837,487
Confirmation Number	9915
Filing Date	April 19, 2001
First Named Inventor	Kazuo Ooya
Art Unit	2661
Examiner Name	Unknown
Attorney Docket Number	064156

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		"Software Quality System Audit Registration Guide", published by Japanese Science and Technology Association Publishing Co., on November 7, 1996, pp. 277-293 (ISO9100).	

**Date Considered**

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.